



# Tuscaloosa Psychiatry Residency Program

## The University of Alabama Psychiatry Residency Handbook

Academic Year 2026-2027

Approved by the Graduate Medical Education Committee on

4/1/26

### **Program Director**

James Reeves, MD

[jjreeves@ua.edu](mailto:jjreeves@ua.edu)

THE UNIVERSITY OF  
**ALABAMA**<sup>®</sup>

*College of*  
**Community  
Health Sciences**

## Contents

Sponsoring Institution Information .....	3
Introduction.....	3
About the College.....	4
Mission .....	5
Graduate Medical Education at The University of Alabama.....	5
Policies and Procedures.....	8
Expectations for Professionalism and Reporting Avenues .....	9
Working with Medical Students .....	10
Wellbeing .....	11
Administrative Practices.....	13
Severe Weather Guidelines.....	19
Program Information .....	20
Introduction.....	20
Program Goals & Aims.....	21
Lines of Authority, administrative structure, and accreditation.....	23
Program Specific Policies.....	25
Program Specific Practices .....	26
Clinical Practices .....	26
Educational Practices.....	31
Administrative Practices.....	40
Signatures.....	51

# Sponsoring Institution Information

## Introduction

Within this handbook, you will find resources on the College of Community Health Sciences, as well as relevant policies and procedures, available resources, and many other topics.

The policies and procedures listed herein are subject to change as they are yearly reviewed, so trainees are advised to always use the web links provided when referring to policy and procedure.

This handbook is divided into two sections:

- The beginning of the handbook is applicable to trainees in all programs, from our Psychiatry Residency and Family Medicine Residency to our seven different post-residency fellowships. It contains general information about the College and its role as the Sponsoring Institution for the University's Graduate Medical Education programs. You will find links to valuable resources and important policies.
- The second part of this handbook contains information specific to your program.

The absence of policy, procedure and any other regulations and guidelines from this Handbook does not excuse the trainee from their responsibility to be aware of such as they may apply to trainees.

This Handbook should not be construed as, and does not constitute, an offer of employment by the University for any specific duration, nor is it intended to state any terms of employment not otherwise adopted and incorporated as part of any Trainee Agreement.

## About the College

### **The College of Community Health Sciences**

The College of Community Health Sciences was established at The University of Alabama in 1972 in response to the Alabama Legislature's mandate to solve the critical need for health care in rural Alabama. That same year, the College was also designated as a regional campus of the UAB Heersink School of Medicine to provide clinical training to medical students. Dr. William R. Willard was recruited as the College's first dean following his retirement from the University of Kentucky. Willard, known as the father of family medicine for his national role in establishing family medicine as a specialty, began recruiting faculty and staff, and the College's first full-time students enrolled in 1974.

Since that time, the College has educated more than 500 family medicine physicians who are working in medical practices, hospitals and universities throughout the United States. In its role as the Tuscaloosa Regional Campus of the Heersink School of Medicine, formerly the UAB School of Medicine, the College has educated more than 900 medical students who have been competitive in obtaining entry to prestigious residencies across the country in family medicine and other specialties, including internal medicine, pediatrics, obstetrics and gynecology, psychiatry, neurology and surgery.

The College's first medical clinic opened in 1975 in Tuscaloosa and by 1993 had 13,800 patients. Today, University Medical Center provides comprehensive patient-centered care from six locations – University Medical Center, located on the UA campus, UMC-Northport, UMC-Demopolis, UMC-Livingston, UMC-Fayette and UMC-Carrollton – that forms the largest community practice in West Alabama with more than 150,000 annual patient visits. University Medical Center also serves as the base for the College's clinical teaching program. In addition, the College also operates the UA Student Health Center and Pharmacy.

CCHS faculty and graduate students engage in research and scholarship and provide community outreach through the Institute for Rural Health Research, established by the College in 2001 with the goal of improving health in Alabama and the region.

In April 2025, the College received Initial Accreditation from the ACGME for a Psychiatry Residency program. The new residency will bolster the great work of the College and the Family Medicine Residency in improving and promoting the health of individuals and communities in rural Alabama and the Southeast region.

### **Capstone Health Services Foundation**

The Capstone Health Services Foundation (CHSF) is a separate 501(c)-3 organization serving as the physician's practice plan. CHSF is an affiliated foundation of The University of Alabama. CHSF operates the University Medical Center (UMC) and its several locations, as well as the Capstone Hospitalist Group.

## Mission

We are dedicated to improving and promoting the health of individuals and communities in Alabama and the Southeast region through leadership in medical and health-related education, primary care and population health; the provision of high quality, accessible health care services; and research and scholarship.

We pursue this mission by:

- Shaping globally capable, locally relevant and culturally competent physicians through learner-centered, innovative, community-based programs across the continuum of medical education.
- Forging a reputation as a leading health sciences academic research center.
- Providing high-quality, patient-centered and accessible clinical services delivered by health-care professionals of all disciplines.
- Creating a culture of employee wellness and growth.

## Graduate Medical Education at The University of Alabama

The College of Community Health Sciences (CCHS) is the sponsoring institution for all Accreditation Council for Graduate Medical Education (ACGME) graduate medical education (GME) programs offered at The University of Alabama. The ACGME requires that graduate medical education programs operate under the authority and control of one sponsoring institution. In addition, there must be an organized administrative system led by a Designated Institutional Official (DIO) in collaboration with a Graduate Medical Education Committee (GMEC) that oversees all ACGME-accredited programs of the sponsoring institution. CCHS's GMEC has been charged to oversee all GME programs regardless of accreditation status. It is not uncommon for sponsoring institutions to have advanced training programs (Fellowships) in areas in which specialty board accreditation or certification is not offered. At CCHS, all GME programs are held to the same standards of compliance and monitoring as established by ACGME. Table One depicts CCHS's graduate medical education programs.

The Sponsoring Institution is home to a Residency in Psychiatry and Family Medicine, as well as seven fellowships. Of these programs, the Residencies and the Sports and Geriatric Medicine fellowships are all ACGME accredited. See **Table One** for program overview.

**Table One – GME Programs at CCHS**

<b>PROGRAM NAME</b>	<b>ACCREDITATION AGENCY</b>	<b>PROGRAM DIRECTOR</b>	<b>NUMBER OF APPROVED TRAINEES</b>	<b>TRAINING PERIOD (YRS)</b>
Psychiatry Residency	ACGME	James Reeves, MD	24	4
Family Medicine (FM) Residency	ACGME	Tamer Elsayed, MD	48	3
Sports Medicine Fellowship	ACGME	Ray Stewart, MD	3	1
Geriatric Fellowship	ACGME	Anne Halli-Tierney, MD	2	1
FM-OB Fellowship	None	Cathy Lavender, MD	3	1
FM Hospitalist Fellowship	None	Charles Brant Lehman, MD	6	1
FM Behavioral Medicine Fellowship	None	Marissa Giggie, MD	2	1
FM Emergency Medicine	None	Tamer Elsayed, MD	2	1
FM Pediatrics Fellowship	None	Sara Phillips, MD	1	1

The DIO for the sponsoring institution is Dan Walters, JD, MBA. Mr. Walters was appointed DIO in December 2020. The DIO has the authority and responsibility for oversight and administration for all the GME programs at CCHS (regardless of ACGME accreditation) and works in collaboration with the GMEC for its oversight of all graduate medical education programs and activities.

The GMEC is comprised of program directors from the residency and fellowship programs, a designated representative from DCH Regional Medical Center, the participating site in which our trainees do most of their inpatient training, as well as program faculty, peer-selected residents and fellows and a quality improvement/patient safety officer.

The ACGME tasks the GMEC with oversight <sup>1</sup> of:

- ACGME accreditation and recognition statuses of the Sponsoring Institution and each of its ACGME-accredited programs.
- the quality of the GME learning and working environment within the Sponsoring Institution, each of its ACGME-accredited programs, and its participating sites.
- the quality of educational experiences in each ACGME-accredited program that lead to measurable achievement of educational outcomes as identified in the ACGME Common and specialty-/subspecialty-specific Program Requirements.
- the ACGME-accredited program(s)' annual program evaluation(s) and Self-Study(s).
- ACGME-accredited programs' implementation of institutional policy(ices) for vacation and leaves of absence, including medical, parental, and caregiver leaves of absence, at least annually.
- all processes related to reductions and closures of individual ACGME-accredited programs, major participating sites, and the Sponsoring Institution; and,
- the provision of summary information of patient safety reports to residents, fellows, faculty members, and other clinical staff members. At a minimum, this oversight must include verification that such summary information is being provided.

Additionally, GMEC is responsible for the review and approval <sup>2</sup> of:

- institutional GME policies and procedures.
- GMEC subcommittee actions that address required GMEC responsibilities.
- annual recommendations to the Sponsoring Institution's administration regarding resident/fellow stipends and benefits.
- applications for ACGME accreditation of new programs.
- requests for permanent changes in resident/fellow complement.
- major changes in each of its ACGME-accredited programs' structure or duration of education, including any change in the designation of a program's primary clinical site.
- additions and deletions of each of its ACGME-accredited programs' participating sites.
- appointment of new program directors.
- progress reports requested by a Review Committee.
- requests for exceptions to clinical and educational work hour requirements.
- voluntary withdrawal of ACGME program accreditation or recognition.
- requests for appeal of an adverse action by a Review Committee; and,
- appeal presentations to an ACGME Appeals Panel; and,
- exceptionally qualified candidates for resident/fellow appointments who do not satisfy the Sponsoring Institution's resident/fellow eligibility policy and/or resident/fellow eligibility requirements in the Common Program Requirements.

---

<sup>1</sup> ACGME Institutional Requirements 2025, 1.12.

<sup>2</sup> ACGME Institutional Requirements 2025, 1.13.

## Policies and Procedures

The Sponsoring Institution maintains policies specific to its GME endeavor. Additionally, trainees should reference [CCHS policies](#), many of which will be applicable to trainees. Finally, trainees are encouraged to review [University of Alabama policies](#), paying special attention to those regarding employment. Trainees should also consult the [UA Employee Handbook and Policy Manual](#).

Policies are reviewed and updated on a regular basis; therefore, trainees should refer to these online postings of policy, rather than any paper versions to ensure they are accessing the most recent version. Further, from time-to-time policies may be created or retired. These changes will be reflected on the [Sponsoring Institution Policy page](#).

[Sponsoring Institution Policies](#) include:

1. Eligibility, Recruitment, and Appointment
2. Promotion, Appointment Renewal and Dismissal
3. Due Process
4. Grievances
5. Leave
6. Impairment
7. Harassment
8. Accommodation for Disabilities
9. Supervision and Accountability
10. Clinical and Education Work Hours
11. Moonlighting
12. Vendors
13. Non-competition
14. Disaster and Substantial Disruption
15. Program Closures and Reductions
16. Probation-Remediation-Suspension
17. Professional Appearance Policy
18. Well-Being, Fatigue Mitigation and Monitoring
19. Professionalism
20. Non-Discrimination

## Expectations for Professionalism and Reporting Avenues

Professionalism is vital to the clinical practice of medicine and to trainee development. To that end, trainees will be evaluated on professionalism through the milestone process. Professionalism concerns will be addressed immediately. Further, professionalism is fundamental to the College's Mission and to all its critical endeavors; clinical, educational, research, and otherwise. As such, the Institution expects the utmost professionalism from its trainees and all other participants associated with graduate medical education.

This expectation of professionalism extends to a trainee's peers, faculty, staff, students, other providers, patients, and all other individuals with whom the trainee interacts during their training. Trainees are advised that concerns regarding their professionalism will be reported to the Dean.

If trainees feel that they have experienced unprofessional behavior during their training from any party, they are encouraged to report to their Program Leadership, the DIO, the CCHS Associate Dean of Academic Affairs, or the CCHS Dean. Programs may have other means of reporting available. Every effort will be made to remedy any professionalism issues within the training environment. Trainees may also refer to the [College's professionalism reporting channels](#).

Trainees may find further information regarding allegations of sexual misconduct at the [University's Title IX Page](#).

Dr. Sara Phillips, MD, is the College's Designated Harassment Resource Person, and is specially trained and designated to receive complaints of harassment. Dr. Phillips is available at (205) 348-1220 or sbphillips@ua.edu.

Trainees are encouraged to reference the following policies:

- Sponsoring Institution [Grievance](#) Policy
- Sponsoring Institution [Harassment](#) Policy
- The University of Alabama's [Equal Opportunity Policies](#)
- University of Alabama's [Title IX & Sexual Misconduct Policy](#)
- The Sponsoring Institution's [Professionalism](#) Policy

## Working with Medical Students

The College of Community Health Sciences serves as an academic and clinical home for the Tuscaloosa Regional Campus of the University of Alabama Heersink School of Medicine. Third- and fourth-year medical students are assigned to the various specialty services at University Medical Center. While the ultimate responsibility for students' education remains with the faculty, trainees are expected to be involved in the teaching of medical students.

Trainees are reminded of their obligation of professionalism in their work with Medical Students. The College has zero tolerance for unprofessional behavior.

Trainees are to allow and expect medical students to perform histories and physicals, formulate ideas concerning impressions and diagnoses, and suggest treatments. Trainees are to see the patients either with or following the students to make sure findings and assessments are accurate and to provide opportunities for necessary instruction. Trainees are expected to assist students with these presentations whenever time permits. Students will be allowed to perform procedures under direct supervision of fellows or faculty. Orders are to be countersigned immediately in all instances by the trainee responsible for the patient.

Trainees should familiarize themselves with the clerkship procedures for each medical student clerkship for which the trainees are assigned. Clerkship goals, procedures, and objectives will be sent to the trainee prior to the clerkship. Trainees will also attend a lecture/seminar on providing appropriate feedback and teaching skills aimed at medical students.

The trainees may require the student to do reasonable reading and research on a patient. The student should be familiar with all pertinent laboratory and clinical facts. Ideally, the student should present the patient to the Attending for comments and guidance, with the help of the trainee on rounds. Interns must perform and dictate a separate H&P from that of the medical student.

At University Medical Center Clinics or participating sites, a fellow **or** an attending, or an upper-level resident **and** an attending, must review all patients seen by a medical student. The Attending or Fellow should personally see the patient prior to the conclusion of the patient visit.

Evaluations of students' performance will be requested from trainees for each student under his/her instruction. These are to be filled out online and returned to the clerkship directors in accordance with UME reporting timelines.

## Wellbeing

### Mental Health

CCHS provides Residents and Fellows access to no-fee, confidential counseling services for individual and/or relationship counseling. The only information that the counselor shares with us is the number of individuals served per month to determine whether or not to continue offering the service.

Who: Mona Ochoa-Horshok, LPC

What: Confidential Counseling

Cost: Free to Residents, Fellows, and UASOM-Tuscaloosa Medical Students

When: Two evenings a month, between 5:30 and 7:30 pm; and as needed

Where: UMC - Please contact Mona for an appointment.

Appointments: [mochoahorshok@gmail.com](mailto:mochoahorshok@gmail.com) or Call/Text (205) 393-9029

Who: Harriet Myers PhD

What: Confidential Counseling

Cost: Free to Residents, Fellows,

When: Tuesday evenings, between 5:30 and 7:30 pm; alternate times or prn appointments are also available and can be scheduled as needed.

Where: UMC - Please contact Dr. Myers for an appointment and location.

Appointments: [harrietmyers@gmail.com](mailto:harrietmyers@gmail.com) text (205) 394-8801

Physicians have a higher frequency of drug abuse, burnout, affective disorders, and marital disharmony than other people of similar social standing. Suicide is more frequent among physicians, possibly because doctors are reluctant to acknowledge illness or difficulties. The faculty of CCHS recognizes the potential for emotional difficulties among trainees and the need for assistance. Physicians in training who are having difficulty may bring this to the attention of the Residency Director or their Advisor without fear of consequence or disapproval. Confidentiality is important. Trainees are encouraged to consult with psychiatry and behavioral medicine providers as needed.

The College also provides trainees with a wellness tracker tool, the Wellbeing Index, at no charge. Interested trainees should consult Dr. James Reeves ([jjreeves@ua.edu](mailto:jjreeves@ua.edu)) for further detail.

If there is interest in obtaining assistance outside the College, several professional resources are available. A brief directory of community resources includes:

### [The University of Alabama Employee Assistance Program \(EAP\)](#)

Your [ComPsych GuidanceResources](#) program can help! The EAP is designed to provide eligible employees and their family members with resources for resolving work-related and personal problems. Personal setbacks, emotional conflicts or just the demands of daily life can affect your work, health and family. With help from your [GuidanceResources](#)<sup>®</sup> program, they don't have to. This UA-sponsored benefit is available to you and your family members at no cost and gives you someone to talk to when life's challenges threaten to overwhelm you. The program is staffed by highly trained, caring clinicians

who are available by phone or online 24 hours a day, seven days a week.

Call **1-888-283-3515** any time with personal concerns, including:

- Stress, anxiety and depression
- Marital and family conflicts
- Alcohol or drug use
- Job-related pressures
- Dealing with change
- Grief and loss

**The Employee Assistance Program provides a free assessment, short-term counseling (5 sessions per issue per year), and long-term referral services.** Referrals are made in consultation with you if additional mental health services are needed. The [behavioral health benefits](#) provided by Blue Cross and Blue Shield of Alabama will be explored with you in selecting a provider.

**EAP services are always confidential.** Information regarding you and your counseling sessions is not released to your manager or supervisor and will not be made a part of your personnel file. [Watch a video](#) where ComPsych answers some questions about counseling. Only statistical data is reported to the University that provides a composite of the employee population served. Your right to privacy is protected within the State of Alabama and federal guidelines.

**Other Resources:**

[Alabama Professionals Health Program](#): (334) 954-2596

## Administrative Practices

### 1. Trainee Agreement

The Trainee Agreement is issued prior to beginning initial training, and only after Trainees have received acceptable results on [their pre-employment drug & alcohol screen](#) as well as satisfactorily completing any other pre-employment requirements as may be required by the Program, College, or University.

### 2. Human Resources

The University's Human Resources is available for further information on matters of employment with the University. Trainees can contact the HR Service Center at 205-348-7732 or [hrrsvctr@ua.edu](mailto:hrrsvctr@ua.edu). Trainees may visit UA HR's [Employee Resources page](#) for helpful references. Finally, Trainees should review the [UA Employee Handbook & Policy Manual](#). A selection of the important items in the UA Employee Handbook are listed below, however trainees should review the entire document:

- Equal Opportunity, Non-Discrimination, and Affirmative Action/Affirmative Action Program
- Anti-Retaliation
- Voluntary Reporting of Protected Veteran and/or Disability Status
- University Drug-Free Campus and Workplace and Other Alcohol Policies
- Title IX and Sexual Misconduct Policy Compliance
- FMLA/Parental Leave

### 3. Compliance

- HIPAA, Infection Control, and Confidentiality Agreement: CCHS requires mandatory training at the beginning of employment and annual renewal thereafter. Certification is documented via the trainee signing and submitting an acknowledgement form. These training courses and the acknowledgement form can be found on the CCHS Intranet site.
- UA Compliance Training: To meet state and federal requirements as well as University of Alabama policy, University faculty, staff, and students may be required to take mandatory training on specific topics. Many of the mandatory compliance training courses must have the course or a refresher course completed on an annual basis. Refer to the [Compliance, Ethics, & Regulatory Affairs website](#) for an overview on [Compliance Training](#).
- Sexually Explicit Material: Pornographic material of any kind (videos, screen savers, posters, etc.) is prohibited in any portion of CCHS or other sites in which trainees are assigned.
- Working with Minors: Trainees should be aware of the [University's Child Abuse Reporting Policy & Procedures](#), as well as the [College's Sensitive Physical Examination](#)

**Policy.** Trainee’s patient panels will include patients of all ages, including minor children. In addition, there is a possibility that trainees will work with shadow students. To protect trainees and minor children, all University training courses regarding child protection must be completed as required in a timely manner.

- Other Compliance courses may be deemed mandatory and required to be completed by trainees as determined by CCHS and/or The University of Alabama. Timely completion is expected.

#### **4. Salary and Paychecks**

- The University of Alabama pays Residents a graduated salary, and Fellows the stated salary, subject to such withholdings as required by law or authorized by the trainee. The salary is specified in the trainee’s Agreement. Trainees are paid in 12 equal monthly installments, by direct deposit, on the last day of each month. Any questions concerning monthly paychecks should be directed to The University of Alabama Payroll Office at (205) 348-7732. While paid a salary, trainees are considered neither faculty nor staff of CCHS or The University of Alabama but rather are generally classified by the University as post-doctoral graduate students with regard to athletic, social, and cultural events, use of University facilities, participation in University governance, parking privileges, and University services. *(Note for PGY-1 Residents: Interns (PGY-1) begin required training on a program-specific start date prior to July 1. The PGY-1 salary includes this required pre-residency training and orientation period, as well as twelve (12) months of residency training, and is paid in thirteen (13) equal installments over the training year. Interns are to collect their first paycheck by direct deposit. However, if residents do not set-up direct deposit during the onboarding process, the first check will be a paper check that would have to be picked up at the HR Service Center.)*
- Salaries are not intended as compensation for services rendered by the trainee. Although it is believed that an essential part of training includes assigned responsibilities for patient care, under the supervision of faculty physicians and consistent with their skills and experience, receipt of the agreed upon salary shall in no way be conditioned upon, measured by, or related to any patient care service rendered by the trainee incidental to the training program.
- Trainees should be aware that receiving direct patient care compensation is considered “moonlighting,” which is subject not only to the rules of the program and the ACGME, but also to various federal laws stipulated by the Centers for Medicare and Medicaid Services (CMS). Trainees should refer to their program’s Moonlighting policy for further guidance.

#### **5. Malpractice Coverage**

- For training duties, the University provides an occurrence-based malpractice policy through The University of Alabama at Birmingham Professional Liability Trust Fund. This policy covers the trainee during official duties. Moonlighting activities may not be covered under this policy. Trainees should refer to their program’s Moonlighting Policy

to understand the insurance ramifications of moonlighting.

## 6. Leave

- To take leave, a trainee must have properly prepared leave request with the approval signature of the Program Director or his/her designee.
- Trainees should refer to the [sponsoring institution's leave policy](#) as well as those guidelines set forth by their program.
- Family and Medical Leave Act: In accordance with the Family and Medical Leave (FMLA) Act of 1993, eligible trainees may take FMLA as described in the FMLA policy. Trainees should be aware that protracted FMLA absences may affect time toward board eligibility and may postpone graduation date. Trainees should reference [UA HR's FMLA page](#).
- Administrative Leave: Trainees may be granted administrative leave for activities whereby they directly represent CCHS and their program (e.g., national and regional residency meetings, presentation of papers, residency fairs, etc.). Applications for administrative leave will be submitted and processed in the same manner as all leave requests.
- Holidays: The holidays typically provided by The University of Alabama include New Year's Day, Martin Luther King Jr. Day, Memorial Day, Independence Day, Juneteenth, Labor Day, Thanksgiving Day, the Friday after Thanksgiving, Christmas Eve Day, Christmas Day, New Year's Eve Day, New Year's Day. University Medical Center is closed on these days, and hospital services operate on weekend schedules. Trainees should not make vacation/holiday plans until their program establishes its holiday training schedule.

## 7. Risk Management, Potential Litigation, and Safety Learning Reports

If a trainee receives communication from a lawyer, patient, or insurance company about possible litigation, the trainee should immediately inform the Program Director and DIO, **in person or telephone, and telephone** the Clinical Risk Director (Amber Starr, 205-903-4229). Ms. Starr will instruct the trainees on who to notify and who to restrict communications with regarding a possible litigious situation to oral communications. **DO NOT address the specifics of any potential malpractice case in writing, email, text or social media content.** Also inform the Program Director of the conversation with Ms. Starr. As appropriate, the Program Director may ask the trainee to update the Chief of the service directly related to the potential case, but here again, do so via oral communication only. Ms. Starr will be responsible for obtaining any documents she needs to review, as this allows her to protect certain confidential information and assists her in the discovery process. Trainees are not to gather any information for her unless specifically requested by her. No trainee should give any information personally or over

the phone to an insurance carrier or lawyer other than our own without permission from Ms. Starr.

Early recognition and full reporting of potential claims will often lead to clarification and resolution of patient dissatisfaction and prevention of litigation. When this process reveals a legitimate error, early resolution of the issue often prevents long, drawn out, costly, and emotionally wearing litigation.

Sensitivity to dissatisfaction on the part of the patient, his or her family, or “significant others” is an essential skill for successful practice. Clear communication with patients and families, coupled with that sensitivity, is the best protection against professional liability claims.

Safety Learning (incident) Reporting is an opportunity for a resident to document instances where patients or families even hint that they are dissatisfied or that they are considering seeking legal advice. Submission of such reports will not be construed as evidence of poor performance on the part of the trainee, but rather that the trainee is sensitive and aware of patient and family attitudes that are not favorable to the doctor-patient relationship.

## **8. Immunizations**

- Hepatitis Immunization – Since trainees are among the high-risk group for hepatitis B, they will be screened for susceptibility if they have not been screened previously. All individuals found to be susceptible will be notified and required to obtain hepatitis immunization. Capstone Health Services Foundation will pay for immunization.
- TB Testing – Trainees will receive a free PPD test during orientation and thereafter as needed for rotations.
- Varicella Testing – All trainees who have not had chickenpox will receive two doses of varicella vaccine (VARIVAX).
- MMR – All trainees are required to have two doses of measles/mumps/rubella (MMR) vaccine since their first birthday. Trainees who are unsure of their immunization will receive MMR.
- N95 Mask Fitting – All trainees may be required to be fitted for an N95 mask annually.
- Flu Shot – Trainees will receive free yearly flu shots. Those who choose not to have a flu shot will be required to wear a mask in the clinic areas throughout flu season in keeping with University Medical Center policy.

## **9. Accommodation for Disabilities**

Trainees should reference the [University's ADA page](#) for more information on reasonable accommodations to qualified individuals with disabilities and/or disabled veterans.

## 10. Workplace Relationships

The University of Alabama has a [Consensual Romantic Relationships Policy](#) that applies to trainees.

The Policy states, in part: “Employees shall not engage in consensual romantic or sexual relationships with any student or employee over whom they exercise any academic, administrative, supervisory, evaluative, counseling, advisory, or extracurricular authority or influence. This prohibition includes employees engaging in consensual romantic or sexual relationships with other employees when one party to the relationship is an individual who supervises, evaluates, makes assignments for, or grades the other party (i.e. “supervisor/subordinate relationship”). Likewise, employees who have the authority to influence aid, benefits, or services provided to a student may not engage in consensual romantic or sexual relationships with a student seeking such aid, benefits, or services. Similarly, employees who have the authority to influence the academic progress of a student may not engage in consensual romantic or sexual relationships with that student.”

Trainees are encouraged to view the policy online to review full content and access the latest version.

## 11. Benefits

A. The College of Community Health Sciences (CCHS) and the Capstone Health Services Foundation (CHSF) will provide trainees with the following:

- Alabama Controlled Substance fees
- Alabama Medical Licensure Commission fees
- Alabama State Board of Medical Examiner fees
- DCH Regional Medical Center Medical Staff privileges
- Educational Reimbursement (CME funds)- See program details
- Federal Drug Enforcement Agency (DEA) license
- Lab Coats (2)
- Occurrence Malpractice Insurance
- Parking permit codes to DCH parking lot
- Portable disability insurance (with buy-up plans available at extra cost to the trainee)
- University of Alabama Business Cards
- University of Alabama Parking Pass
- University of Alabama Staff ACT card

Individual programs may have additional benefits; see program information.

If a trainee receives a bill or statement from any of the above, they should promptly submit it to their program coordinator for payment.

- B. The University of Alabama offers an array of benefits for the trainees, about which details may be found on the [UA Benefits website](#). UA has also provided a [Benefits Summary Guide](#).

Some employee benefits require timely action by trainees, to include health insurance and retirement plan options. Trainees are responsible for completing the online benefit enrollment process within the first **30** days of employment. Failure to do so will result in ineligibility status until the official open enrollment period begins.

## **12. Equal Opportunity and Non-Discrimination**

The University of Alabama (UA) is committed to compliance with all applicable laws regarding the concept and practice of equal opportunity, non-discrimination (including anti-retaliation and reasonable accommodation) and affirmative action in all aspects of employment practice.

Trainees should review the [University's Equal Opportunity and Non-Discrimination Policy](#).

## **Severe Weather Guidelines**

One of the methods The University of Alabama uses for emergency notification is UA Alerts. In an emergency, University Relations will activate the system, sending telephone calls (work, cell, and/or home), e-mail, and text (SMS) messages simultaneously to the campus community.

[Find more information about UA Alerts.](#)

[Users will be able to update their personal information using their myBama portal.](#)

If a trainee feels unsafe to travel due to weather, they should contact and discuss with their supervisor and/or program leadership prior to travel.

Trainees should be aware that tornadoes can be a threat in Alabama. The UA Alerts system will notify trainees of threatening weather for the UA Campus. Tornado shelters are located at several locations across campus. Tornado watches refer to weather conditions which are favorable for tornado formation. Tornado warning refers to a confirmed tornado in the area.

Programs may have program specific severe weather practices. Trainees should reference the program section of this handbook.

# Program Information

## Introduction

### **1. History of The University of Alabama - Tuscaloosa Psychiatry Residency Program**

The University of Alabama Tuscaloosa Psychiatry Residency Program was accredited in February 2025. The program began to address the declining number of psychiatrists and mental health providers in Tuscaloosa and West Alabama. Further, Alabama has one of the lowest rates of mental health providers in the country per population. Many areas of Alabama, particularly small towns and rural communities, suffer from a serious lack of mental health care. In response to that demand, the College of Community Health Sciences (CCHS) and the University of Alabama established the psychiatry residency program to fill that void.

CCHS recognized that next to primary care that mental health care was the next most important type of care. Therefore, the only way to solve that problem sustainably is to have a program where we train our own psychiatrists who would practice in Alabama, including the State's small towns and rural communities, and who were equipped to treat the myriad of mental health problems found there.

The University of Alabama - Tuscaloosa Psychiatry Residency Program's first class of six residents will start in July 2026.

The University of Alabama - Tuscaloosa Psychiatry Residency Program will prepare physicians to provide exceptional care in mental health. The curriculum emphasizes community-based continuity of care and leads to board certification in psychiatry. The residency is affiliated with DCH Health System's North Harbor Pavilion, the referral hospital that provides inpatient mental health treatment for adults in West Alabama. Our program is a university-sponsored, community-based program with six full-time psychiatry faculty assisted by many adjunct faculty members within the Department of Psychiatry and Behavioral Health as well as other departments and other healthcare partners.

## **2. College of community Health sciences (CCHS) and University Medical Center (UMC)**

- The College of Community Health Sciences (CCHS) operates the UMC (main), UMC-Northport (UMC-NP), UMC-Demopolis, UMC-Livingston, UMC-Fayette, and UMC - Carrollton. UMC (main), located on the main campus of The University of Alabama is a large multi-specialty clinic serving the West Alabama region. Acting as a teaching facility for a variety of allied health fields, UMC (main) primarily serves as a training site for medical students and our family medicine and psychiatry residents.
- All four of our clinics operate under a common set of UMC-wide policies and procedures and fall under the oversight of CCHS leadership personnel.

## **3. Faculty**

CCHS has approximately 90 faculty members in the following departments:

- Community and Rural Medicine
- Family, Internal, and Rural Medicine
- OBGYN
- Pediatrics
- Psychiatry and Behavioral Medicine
- Surgery

## **Program Goals & Aims**

### **A. Program AIMS**

- Shaping globally capable, locally relevant and culturally competent psychiatrists through learner-centered, innovative, community-based programs across the continuum of medical education
- Addressing the psychiatry workforce needs in Tuscaloosa County and West Alabama by being the standard of excellence in psychiatry residency education
- Engaging communities as partners, particularly in rural and underserved areas, in efforts that improve the health of the people of Alabama
- Providing high quality, patient-centered and accessible clinical services delivered by health care professionals of all disciplines
- Being a leader in health equity and outcomes research by producing innovative, community-oriented research and scholarship focusing on improving population health
- Maintaining a culture of resident well-being and professional success of all residents, faculty and staff by providing the resources necessary to grow personally and professionally.

### **B. Overview of Residency's Goals**

## 1. ACGME Competencies

The residency program implements the psychiatry milestones project and provides residents with biannual feedback regarding their progression in the six ACGME competencies. Toward this end, the residency will define the specific knowledge, skills, and attitudes required and provide educational experiences as needed for residents to demonstrate:

- a. **Patient Care** that is compassionate, appropriate, and effective for the treatment of health problems and the promotion of health.
  - Care of the Acutely Ill Patient
  - Care of Patients with Chronic Illness
  - Health Promotion and Wellness
  - Ongoing Care of Patients with Undifferentiated Signs, Symptoms, or Health Concerns
  - Management of Procedural Care
- b. **Medical Knowledge** about established and evolving biomedical, clinical, and cognate (e.g., epidemiological and social-behavioral) sciences and the application of this knowledge to patient care.
  - Demonstrates Medical Knowledge of Sufficient Breadth and Depth to Practice Psychiatry Medicine
  - Demonstrate appropriate critical Thinking and Decision Making
- c. **System-Based Practice**, as manifested by actions that demonstrate an awareness of and responsiveness to the larger context and system of health care and the ability to effectively call on system resources to provide care that is of optimal value. Residents are expected to demonstrate competence in:
  - Patient Safety and Quality Improvement
  - System Navigation for Patient-Centered Care
  - Physician Role in Health Care Systems
  - Advocacy
- d. **Practice-Based Learning and Improvement** that involves investigation and evaluation of patient care, appraisal and assimilation of scientific evidence, and improvements in patient care. Residents are expected to develop skills and habits to demonstrate competency in:
  - Evidence-Based and Informed Practice
  - Reflective Practice and Commitment to Personal Growth

- e. **Professionalism**, as manifested through a commitment to carrying out professional responsibilities and an adherence to ethical principles, with expected demonstration of:
  - Professional Behavior and Ethical Principles
  - Accountability/Conscientiousness
  - Self-Awareness and Help-Seeking Behaviors
  
- f. **Interpersonal and Communication Skills** that result in effective information exchange and teaming with patients, their families, and other health professionals. Residents are expected to demonstrate competence in:
  - Patient- and Family-Centered Communication
  - Interprofessional and Team Communication
  - Communication within Health Care Systems

## Lines of Authority, administrative structure, and accreditation

### A. Lines of Authority/Hierarchy

The University of Alabama – Tuscaloosa Psychiatry Residency Program (UATPR)

RESIDENCY LEADERSHIP:

Dr. James Reeves, Psychiatry Residency Program Director  
Dr. Brooks Burns, Associate Psychiatry Residency Program Director  
Lynn Thomas, Psychiatry Residency Program Coordinator

### B. Administrative structure

**Program Director:** Dr. James Reeves is the program director with authority and accountability for the overall program, including compliance with all applicable program requirements.

The program director has responsibility, authority, and accountability for: administration and operations; teaching and scholarly activity; resident recruitment and selection, evaluation, and promotion of residents, and disciplinary action; supervision of residents; and resident education in the context of patient care.

**The Graduate Medical Education Committee (GMEC)** is the Residency oversight committee. It is chaired by the Residency Director with voting members including the DIO, Residency Director, Accredited fellowship directors, peer-selected residents and fellows, a Patient Safety/Quality Improvement Officer or designee and a representative from the Major Participating Site (DCH Regional Medical Center).

**The Program Evaluation Committee (PEC)** is responsible for educational changes that may affect the program. It is chaired by the Residency Director, with members including a faculty member from each major discipline contained within the curriculum and resident representatives. The PEC is responsible for the Annual Program Evaluation (APE) and 10-year Self-Study.

**The Clinical Competency Committee (CCC)** is responsible for reviewing resident performance data, making consensus decisions on competency, and identifying struggling residents early, and recommending progression. It is chaired by the Associate Program Director (Dr. Brooks Burns), with members including core faculty, non-physician healthcare team members, and assessment specialists. The CCC is responsible for reviewing assessments, milestone evaluations, and provides structured feedback to the program director that's essential for accreditation.

**DCH Regional Medical Center** is the major participating hospital that receives Graduate Medical Education funding from CMS (i.e., Medicare). These funds are partially passed on to CCHS for resident salary and benefits.

### C. Accreditation

**The Accreditation Council for Graduate Medical Education (ACGME)** is the accrediting institution for Allopathic and Osteopathic residency programs in the United States. UATPR is fully accredited by the ACGME and complies with the rules and regulations required at an institutional level by the ACGME, as well as those specialty-specific requirements of its Review Committee for Psychiatry residencies. The Institutional Requirements, Common Program Requirements, and Psychiatry Program Requirements can be found on the [ACGME website](#).

**The American Board of Psychiatry and Neurology (ABPN)** maintains its own set of requirements that must be followed for a resident to be eligible for obtaining board certification, including policies relating to continuity of care and leave of absence from Residency. Our internal requirements are also written to comply with the ABPN requirements, which can be found on the [ABPN website](#). In addition, the American College of Psychiatrists administers the in-training exam (ITE) every fall; previous in-training exams can be accessed on its website. The in-training exam is an excellent predictor of initial certification exam passage.

**The Alabama State Board of Medical Examiners (ALBME) and the Medical Licensure Commission of Alabama** are the state agencies that regulate the issuance of all licenses to practice medicine or osteopathy in the state of Alabama. More information about their rules and regulations can be found on the [ALBME website](#).

**For further information, contact us at (205) 348-2397 or [uapsychresidency@ua.edu](mailto:uapsychresidency@ua.edu).**

## Program Specific Policies

As previously stated, the Sponsoring Institution (SI) has an official Policy and Procedure Manual consistent with ACGME requirements. UATPR has adopted the SI policies, however, the procedures to accomplish these policies are tailored to fit the residency program. In addition, there are some specific residency policies, procedures and practices which are applicable to the residency program.

The SI Policy Manual is maintained online and accessible via the CCHS Intranet. At any time, you may request a copy of a policy from the Residency Office, however, it is the resident's responsibility to ensure the paper copy is the same updated policy that is online. The online version is considered the official policy.

**Psychiatry Residency Policies** – These are policies that apply to UATPR residents in addition to the Sponsoring Institution Policies.

1. Clinical and Educational Work Hours Policy
2. Moonlighting
3. Professionalism
4. Supervision and Accountability Policy
5. Transitions of Care
6. Well-Being, Fatigue Mitigation and Monitoring
7. Communications

## Program Specific Practices

### Clinical Practices

#### A. General Supervision (see also Supervision Guidelines from the [Psychiatry Residency Website](#))

The resident must not independently perform procedures or treatments, or management plans that he/she is unauthorized to perform or lacks the skill and training to perform. **The resident is responsible for communicating to the attending physician any significant issues regarding patient care.**

#### B. Communications

##### 1. Cell phone and Email

Professional and responsible behavior is expected of all residents. The Residency Office, clinic personnel, the answering service, and your rotation team members need to be able to reach you at any time, unless you are on approved leave. Our primary means of contact will be through your cell phone (Designated Team cell phone or Personal cell phone) and/or email.

See the Residency Communication Policy.

##### 2. Faculty-Resident Communications, Feedback

- Feedback is provided during rotations along with an evaluation completed at the end of the rotation by the attending physician(s).
- Each resident is assigned an advisor to mentor them with their educational goals.
- Residents will receive semiannual formative feedback from the Clinical Competency Committee (CCC) regarding their performance and progression according to the Psychiatry Milestones.

**a. Release of Protected Health Information (PHI)**

**i. General Expectations and the Electronic Medical Record (EMR):**

There is a remote 24-hour availability of electronic medical records systems (UMC/DCH) by computer. Residents are expected to comply with all UMC and DCH policies and procedures regarding the Electronic Medical Records System.

**ii. Faxing/Receiving Confidential Patient Medical Records:**

- Facsimile transmission of health information should occur only when the original record or mail-delivered copies will not meet the needs of immediate patient care. Health records should be transmitted via facsimile only when: (1) needed for patient care; or (2) required by a third-party payer for ongoing certification of payment for a hospitalized patient. The information transmitted should be limited to the necessity to meet the requestor's needs.
- The Medical Records Department should make routine disclosures of information to insurance companies, attorneys, or other legitimate users through regular mail or fax.
- **Except as required or permitted by law, a properly completed and signed authorization should be obtained prior to the release of patient information. An authorization transmitted via facsimile is acceptable.**
- Consult the Medical Records Department to assist with all release of information requests. Any releases of information should be charted in the patient's medical record on PHI.

Each fax machine should have someone monitoring incoming documents.

This individual should remove incoming documents immediately, examine them to assure receipt of all pages in a legible format, and send them in accordance with their instructions. Faxed documents will be scanned into the EMR by the staff of the Medical Records department. All actions will be in accordance with HIPAA regulation:

- Faxes should be sent/received using fax machines in a secure, limited area.
- Fax requests from unfamiliar sources should always be verified.
- Highly sensitive health information will not be faxes.
- Psychotherapy notes will never be faxed.

A printed confirmation record should be used to confirm that the fax was delivered to the correct number.

**iii. Expectations of Preceptors in the Psychiatry Clinic:**

1. All attending faculty must personally see all Medicare patients, Tricare, Federal BCBS patients and any patient who has a federally sponsored health care insurance.
2. All attending faculty must be present for all key portions of a procedure regardless of insurance to be able to bill appropriately.

**iv. Incomplete Charts**

- Incomplete charts are defined as any clinic visit or procedure note not completed within 72 hours of the encounter.
- The Residency Office will inform residents of charts that have been incomplete for more than 48 hours.
- Residents will have 24 hours to complete all charts after receiving these notifications.
- Residents will receive daily notification of incomplete charts to their task box within the EMR.
- If the resident has not completed the charts by 8:00 am on the next business day following notification, the resident may be pulled off their rotation and meet with the Program Director to discuss disciplinary action.

Timely completion of patient records is good patient care and requires professional behavior for a practicing physician. Additionally, resident chart documentation is necessary before the attending can complete their documentation. Attendings are required to complete chart documentation within 10 days of the encounter otherwise, they are subject to a financial penalty. See also the [Chart Completion and Authentication Policy](#).

**b. Charges**

**i. Patient Charges and Discounts:**

At UMC, professional physician charges are competitive with those of local physicians. Residents should document the visits. Attendings will submit charges for billing. Residents shall be responsible for coordinating any questions or concerns about charges to patients. Specific policies are outlined below.

**ii. Uninsured/Underinsured Policy:**

Indigent or uninsured patients should be referred to Social Services at 348-7195.

**c. Other Clinical Procedures**

**i. Medical Transportation:**

For patients with Suicidal or Homicidal Ideations: Residents should notify their Attending immediately.

For all other Patients: Patients requiring transfer to DCH for emergency care or admission will be presented to an Attending and will not be transported to DCH without attending authorization. All transportation to DCH or Northport ED must be done by EMS, unless patient chooses to go by private vehicle. Residents and staff are not permitted to transfer patients to the ED with their private vehicles.

**ii. Transfer of Patients:**

All patients who request a change in their assigned physician should be referred to the nursing supervisor or Clinic Director.

**iii. Dismissal of Patients:**

- UMC has a specific policy on the dismissal of patients. Residents may request that a physician-patient relationship be terminated. Residents must receive dismissal approval from an attending, who must review the patient's chart, ensuring compliance with the dismissal policy. There should be no omissions in the standard of care and no indiscreet remarks made in or removed from the chart. The attending will then initiate dismissal procedures as outlined in the policy and forward the request to the Chief Medical Officer. Patients have 30 days from the date on the dismissal letter to find a new physician. If urgent medical care or prescription refills are needed during this period, the resident on call must see the patient, if the patient so desires.
- Immediate Dismissal for patients will be considered for those patients who are threatening or who pose threat to UMC clinical staff, residents, or physicians. In these cases, medical staff are encouraged to call UAPD immediately.

iv. **Referrals:**

When a patient is referred to another physician in or out of UMC, the resident must complete a referral within the EMR. The “Plan” section of the chart note should reflect why the patient is being referred. Referral should be tasked to the appropriate unit referral coordinator. **It is customary to refer primarily to physicians who are involved in the teaching of residents.**

v. **Sensitive Physical Examination Process:**

A chaperone must be present and noted by name in the EHR for all sensitive examinations and for a minor’s exam. A chaperone may assist the provider with the examination.

[Please refer to policy for full details.](#)

## Educational Practices

### A. Professionalism

Professionalism is one of the core competencies the ACGME has identified as being vital to the clinical practice of medicine and resident development. The Professionalism Policy must be signed and submitted to the Residency Office.

#### Absence of Preceptor and Professionalism:

Occasionally, there will be rotations where the preceptor is absent or releases the resident to leave early. The preceptor being absent does not excuse the resident for the day. When this occurs, it is the resident's duty to immediately notify the Residency Office to be assigned other duties. The resident is expected to be reachable by cell phone during the workday, unless they have notified the Residency Office that they will be taking leave.

### B. Curriculum

#### 1. Overview of the Curriculum

**A separate schedule will be created for Thanksgiving week, Christmas week, New year's week and spring break week.**

- **PGY-1**
  - Primary Care Inpatient – 2 blocks (6 weeks)
  - Primary Care Outpatient – 4 blocks (12 weeks)
  - Neurology – 1 month
  - Psychiatry Inpatient – 8 months
- **PGY-2**
  - Consult/Liaison – 3 months
  - Emergency Psychiatry – 3 months
  - Child Psychiatry – 3 months
  - Addictions – 1 month
  - Forensic Psychiatry – 1 month
  - Geriatric Psychiatry – 1 month
- **PGY-3**
  - Community Psychiatry – 1 day per week for 12 months
  - Outpatient Psychiatry – 12 months
  - Student Health – 1 day per week for 12 months
- **PGY-4**
  - Outpatient Psychiatry – 12 months (time in clinic will vary depending on the elective)
  - Neurology – 1 month
  - Junior Inpatient Attending – mornings for 1 month
  - Electives - 10 months

#### 2. Rotations

**a. Scheduling Rotations**

The resident curriculum is 48 months. The Residency office prepares a master schedule each academic year that is approved by the Residency Director. This schedule is subject to change by the Residency Director and/or Coordinator and approved by residency director. **Any rotation change requests should be submitted 180 days in advance to the Residency Office for consideration.**

**b. Elective/Subspecialty Rotations**

Before starting an elective or subspecialty rotation, residents are required to contact the preceptor **Six** months prior to the start date of the rotation to inquire about any requirements for the rotation. An elective choice must be communicated to the residency office **Six** months in advance.

**c. Starting Dates**

Rotations begin on the first day of the block (unless otherwise noted by the residency director). The Master Schedule and Block Dates for the year will be provided to the residents before July 1<sup>st</sup> of each academic year.

**d. Incomplete Rotations**

- It is expected that rotations, including electives, will be completed in a satisfactory manner, including adequate attendance (minimal attendance requirement of 75% business days).
- Any rotation with less than 75% of business days attended is considered incomplete. For incomplete rotations, residents will be required to complete rotation requirement before given a passing grade or residents will be given an incomplete grade and are required to repeat the rotation before receiving credit. This may lead to extension of residency training to complete training requirements.
- Rotation requirement must be completed within 30 days of the end of the rotation or residents will receive a grade of incomplete and may be required to repeat the rotation.

**e. Passing Rotations**

Residents must receive a passing recommendation by the Residency Director, Department Chair or preceptor to pass a rotation. In addition, PGY-1 residents must receive a recommendation of "Ready to be an Upper Level" before promoting to PGY-2. The Clinical Competency Committee will provide their recommendation to the Residency Director, who will review all evaluations and milestones to make a final determination.

**f. Away Rotations**

Any interest expressed by a resident for an away rotation must be discussed with the Residency Director Six (6) months in advance.

**i. Supervised Practice Experience (SPE):**

Residents may choose to complete an (SPE) in a physician practice with prior approval of the Residency Director. The following criteria will be used to evaluate the acceptability of the proposed rotation:

- The resident must apply for the SPE **a minimum of six months prior** to the anticipated rotation. During this period, CCHS must determine the suitability and qualifications of the SPE preceptor and ensure the environment meets ACGME regulations.
- After obtaining the necessary internal approvals, the Residency Office will obtain a Program Letter of Agreement from the SPE preceptor. The Residency office may ask the resident to assist in this process.
- There must be a justifiable educational value for this experience.
- The SPE preceptor agrees to evaluate the resident's activity and performance.
- The resident must be supervised during the rotation.
- CCHS/UATPR will not provide money for travel, lodging or meals.
- There can be no conflicts with the resident's duties with UATPR. The resident must not have delinquent/incomplete dictations or charts at DCH or UMC. The resident must have an adequate number of patients per ACGME requirements and be on track to meet all volume requirements for graduation, so leave can be granted for the away rotation.
- The SPE preceptor must be board certified in Psychiatry, unless previously discussed with the Residency Director.

**ii. Rural Rotation:**

All residents are required to complete a rural rotation. The main rural rotation UATPR is Project Horseshoe Farms. The resident may also select a site from one of the approved teaching sites for a rural elective. The resident may be required to return to a University Medical Center Psychiatry site for one or more days of clinic a week to maintain continuity of patient care.

**iii. Elective International Rotation (EIR):**

International humanitarian experiences are allowed during PGY-4.

It is possible to receive academic (residency) credit for these experiences provided RRC guidelines are met. An EIR must be supervised by a board-certified preceptor from a U.S. training program. (Exemption may only be provided by the Residency Director.)

A resident must apply for an EIR a minimum of Six months prior to the anticipated rotation. To complete the application, a proposal (see first bullet below) and leave request indicating international travel must be submitted in writing to the Residency Director. It is important to obtain Residency Director, CCHS and University approval for the international rotation and travel before incurring travel-related expenses. If approval is not granted, the resident is at risk for any expenses incurred.

During this period, CCHS must determine the suitability and qualifications of the EIR preceptor and ensure the environment meets ACGME regulations.

Humanitarian trips/rotations may be considered for reimbursement up to \$1,500 subject to availability of funding. This benefit is available ONCE during residency. To qualify for this benefit, the following must be done:

- A two to three-page proposal for the experience must be written and submitted to the Residency Director prior to the EIR.
- A summary of the experience must be written and submitted to the Residency Director after the EIR.

If granted permission for reimbursement, UA travel guidelines must be followed. All expenditures must have receipts and supporting documentation. Typically, the maximum reimbursement allowed is \$250 per night for hotel accommodation and \$45 per day for meals. Please refer to [UA's travel policy](#) for the most up-to-date rules for reimbursement.

Supervised Practice Experience (SPE) and Elective International Rotation (EIR) may be suspended without notice at the discretion of the Residency Director due to safety issues, pandemic or local circumstances that may affect the program's accomplishment of its educational and patient care mission.

### 3. Conferences and Scholarly Activity

#### a. Academic Afternoon and other Academic Conferences

- Academic Afternoon and didactics are a required part of the curriculum. ATTENDANCE is MANDATORY, as well as tied to PGY promotion level and successful completion of the training program (See Promotion, Renewal, and Dismissal Policy in Section II of this handbook).
- Resident attendance is required unless: 1) approved leave has been granted. 2) duty hours prohibit such involvement; 3) the resident is on an “away” rotation that does not include University Medical Center continuity clinic;) the resident is covering hospital/clinic/administrative duties as approved by residency director. Academic Afternoon should not be used for personal activities without having approved leave.
- Other conferences, such as Academic Conferences, Grand Rounds, Journal Clubs, may be scheduled at various times throughout the year. The attendance policy for these lectures is the same as above.
- In cases of ANY unapproved absences, the resident will be required to meet with the Residency Director regarding the absence and is subject to disciplinary action. Unexcused absence is considered a serious lapse in professionalism and will be deducted from the resident annual leave balance.
- Attendance and participation at Academic Afternoons, Grand Rounds, etc.... (List not all inclusive) plays a vital role in your learning and professional development as a physician. Attendance logs will be maintained by the Residency Office. It is the resident’s responsibility to confirm their attendance has been documented. Extenuating circumstances must be approved by the Residency Director.
- Professional behavior during Academic Afternoon is expected and includes being respectful of the lecturer regardless of discipline or college affiliation. Cell phones, Laptops and pagers should be set to silence during this time.
- The purpose of Academic Afternoon is for growth and professional development, not to complete unfinished work tasks. Use of laptops and/or cell phones is prohibited during lectures unless used for viewing lecture material and approved by the Academic Afternoon attending.
  - Once a month (at least quarterly), a special-called meeting (Forum) of all residents and fellows within CCHS will be held during Academic Afternoon. The DIO, faculty members and other administrators should be absent from the Forum, unless invited by Residents/Fellows. This Forum is consistent with ACGME requirements to ensure the availability of an opportunity for Residents and Fellows within and across the Sponsoring Institution’s graduate medical education programs to communicate and exchange information with each other relevant to their programs and their learning and working environment. At the Forum:

- Any Resident/Fellow must have the opportunity to raise concerns.
  - Residents/Fellows must have the option, at least in part, to conduct their Forum with the Program Director, DIO, faculty members, or other administrators present; and
  - Residents/Fellows must have the option to present concerns that arise from discussions at the Forum to the Residency Director, or directly to the DIO and GMEC.
- Residents and Fellows are represented by peer-selected representatives on GMEC. These representatives have the responsibility to communicate with the GMEC Chair or DIO to 1) invite them to a Forum meeting or 2) present the collective concerns or issues raised at the Forum that require the attention of the DIO and/or GMEC.

**b. Scholarly Activities and Research**

All Residents are required to participate in scholarly/research activities during residency. These activities are required for graduation. Opportunities generally begin in PGY-1 such as writing up a case report. Please see the Research Guidance and Planning document for further information.

The scholarly activity comes in many different formats and incorporates the core areas of academic medicine, such as research, teaching, patient care and organization/management/service. As defined by Boyer (1990), scholarship encompasses the full scope of academic work and includes:

- The Scholarship of Discovery – original research.
- The Scholarship of Integration – interdisciplinary work in which connections are made across disciplines.
- The Scholarship of Application – the application of theory to practice and the bidirectional relationship between theory and practice.

The Scholarship of Teaching – communication of knowledge to learners and the creation and sharing of knowledge about the practice of teaching. Residents are required to accumulate 10 scholarly activity points. This system recognizes a variety of activities as scholarly; any of the four types of scholarship described by Boyer can potentially earn points.

The point system is weighed in such a way as to encourage residents to participate in the scholarship of discovery. Residents are free to collaborate with each other, as well as faculty, on projects.

The following table shows the basic outline of the point system. Residents are required to accumulate 10 points to meet graduation requirements. The Research Director, Residency Director, and faculty mentor determine the exact number of points earned for a project. For projects involving collaboration, full points can be given to each resident, or points can be assigned based on each resident's level of contribution.

Additional information regarding this requirement will be formally given during an orientation session at the beginning of PGY-1.

## Approved Scholarly Activity Requirements

Activity	Maximum # of Points
Completion of an IRB-approved research project	7
Acceptance (to peer review) of a manuscript describing a case report, clinical review, or research project, a systematic review to a medical journal, or a quality improvement project with evaluation	5
Publication of an edited book chapter or section	5
Acceptance (to peer review) of a manuscript describing a case	5
Prepare an enduring curriculum for use by the residency program, including needs assessment; goals & objectives; activities/template; evaluation; and presentation for incorporation into a curriculum	5
Participating in a grant proposal or budget	3
Submission and acceptance of a podium or poster presentation at a state, regional, national, or international medical	3
Presentation of a podium or poster presentation at the CCHS Rural Health Conference	3
Participation in state, regional, or national committees of medical or educational organizations	3
Publication of a letter to the editor in a peer-reviewed medical	2
Publications for the lay public, such as newspaper articles, on medical topics or an article for the UA news	2
Submission without acceptance of a presentation at a state, regional, national, or international medical conference	1
Presentation of a podium or poster presentation at a local medical or patient care conference (includes but not limited to CCHS Resident Research Day, Grand Rounds, Scholarship Conference, etc.)	1
Special lecture outside of CCHS	1
Publication of an op-ed or letter to the editor in a local or state newspaper regarding a current public health concern	1
Completion of CITI/IRB training and identification of an approved scholarly activity topic with a faculty mentor by the end of intern year	1

Presentation at Academic Afternoon (required for all)	1*
Attendance of IHRH Rural Health Conference	1/day
Presentation of a one-hour lecture for Global Health	1
Other activities deemed acceptable by the Research Director and Residency Director	As assigned

**\*Requirement for graduation**

**4. Other Requirements**

**a. Quality Improvement**

Quality Improvement (QI) is increasingly becoming a part of private practice in the form of insurance-initiated pay-for-performance programs and annual American Board of Psychiatry and Neurology Maintenance of Certification (MOC) QI Chart Reviews. **All residents are required to participate in a QI project. This is a graduation requirement.**

Required committee attendance is a required part of ACGME practice management.

**b. Library and Learning Resources**

The Health Sciences Library is located on the ground floor of CCHS and is available to residents 24 hours a day.

**c. Assessment**

**1. Evaluations**

**a. Evaluations of Faculty and Rotations:** Residents evaluate the faculty and rotations securely, anonymously, and electronically via New Innovations after each block. To preserve anonymity, these evaluations are compiled every four to six months and a composite average of the evaluations and comments are presented to the faculty. The evaluations remain completely anonymous.

**b. Evaluations of Residents (Formative, Summative, and Final):** Rotation preceptors evaluate residents securely and electronically via New Innovations after each block. Formative evaluations are made available securely and electronically through New Innovations four weeks after the completion of the block.

Residents are assigned a faculty Academic Advisor to assist them in obtaining their education goals. Residents are required to meet with their advisor to discuss their evaluations for each quarter. Any deficiencies or areas for improvement should be addressed during this time. The advisor will complete a Summative Evaluation on the resident and submit it to the Residency Office and Clinical Competency Committee (CCC). Advisors will meet with their resident advisees before CCC meetings to discuss their evaluations and again, after CCC meetings to advise residents on milestone evaluation and progress.

The Clinical Competency Committee will give recommendations to the Residency Director regarding milestone evaluations of each resident and advise the Residency Director regarding resident promotion and graduation.

Prior to the end of June, the Residency Director informs each resident of the decision reached, pending successful completion of the remainder of the academic year.

**2. Requirements per PGY:**

<b>Resident Requirements per Year for Advancement to Next PGY</b>				
	<b>PGY-1 Required by June 30</b>	<b>PGY-2 Recommended by June 30</b>	<b>PGY-3 Required by June 30</b>	<b>PGY-4 Required by June 30</b>
<b>Journal Club</b>	*	*	*	*
<b>Committee Attendance</b>		*		
<b>Research Project Presentation</b>				*
<b>USMLE Step 3/COMLEX Level 3</b>	*			
<b>QI Project (Performance Improvement Activity)</b>			*	

**3. In-Training Exam**

The American College of Psychiatrists administers the In-Training Exam (PRITE) annually in the fall. The purpose of the examination is to provide an assessment of each resident's progress and to provide programs with comparative data about the program. The examination consists of 240 multiple-choice questions and uses a content outline that is identical to the blueprint for the ABPN Certification Examination. Scores on PRITE are a reliable indicator for passing the ABPN written exam after graduation.

## Administrative Practices

**A. Residency Agreement**

The Trainee Agreement is issued prior to commencement of initial training, and only after Trainees have received acceptable results on their pre-employment drug and alcohol screen as well as satisfactorily completing any other pre-employment requirements as may be required by the Program, College, or University. This agreement covers the entire training period, provided the Resident receives a promotion letter to advance to the subsequent years of training. Residents receive a copy of the fully signed Agreement with originals kept in the Residency Office for reference. After the first Agreement is signed, any subsequent renewal is communicated in writing to the resident. Residents who do not wish to renew their Agreement must notify the Residency Office 60 days prior to the renewal date.

## **B. Benefits**

The College of Community Health Sciences (CCHS) and the Capstone Health Services Foundation (CHSF) will provide the residents with the following:

1. Advanced Cardiac Life Support (ACLS)
2. American Psychiatric Association membership
3. Alabama Psychiatric Physicians Association (APPA)
4. Alabama Controlled Substance fees
5. Alabama Medical Licensure Commission fees
6. Alabama State Board of Medical Examiner fees
7. AMA GME Competency Education Program (web-based program)
8. PRITE Exam Fees
9. University Medical Center will provide a discount on office visits for residents and their dependents who are covered by UA's Blue Cross/Blue Shield Health Insurance plan. Residents are responsible for any applicable deductibles and non-covered services.

10. DCH Regional Medical Center Meal Stipend – Provided on inpatient blocks: on-call residents receive \$196 per block. The total amount of dollars available for the resident will be placed on their card at the beginning of each academic year.
  11. DCH Regional Medical Center Medical Staff privileges.
  12. Educational Reimbursement (CME funds) - up to \$1,000 for PGY-2, PGY-3 and PGY-4.
  13. Laptop – PGY-1 residents will be issued a laptop for use during residency training. Laptops must be returned to UATPR prior to graduation.
  14. UWorld Study Subscription – PGY-1 residents will receive a study subscription to UWorld for preparation for USMLE Step 3/COMLEX Level 3.
  15. Examination and Board History Report.
  16. Federal Drug Enforcement Agency (DEA) license fees - one time only (Note: DEA Registration is good for 3 years. Residents are responsible for renewal fees during PGY-3).
  17. Lab Coats (2) and Scrubs (2).
  18. Occurrence-Based Malpractice Insurance.
  19. Relocation Reimbursement - up to \$1,500 (issued as a taxable sign-on bonus).
  20. The University of Alabama Business Cards.
  21. The University of Alabama Parking Permit.
  22. The University of Alabama Staff ACT card.
  23. USMLE 3/COMLEX Level 3 Application fees.
- Residents should promptly submit any bill/statement for any of the above to the Residency Office for payment.

- The University of Alabama offers an array of employee benefits with details located on the UA Benefits website (<http://hr.ua.edu/benefits/>). UA has also provided a Benefits Summary Guide, with a convenient one-page summary on page three.
- Residents are responsible for completing the online benefit enrollment process within the first 30 days of employment. Failure to do so will result in ineligibility status until the official open enrollment period begins.
  - Residents are responsible for paying:
    1. Moonlighting Malpractice Insurance – PGY-2, PGY-3 and PGY-4, consistent with Moonlighting Policy
    2. DEA Renewal – PGY-4

### **C. Leave/Other**

UATPR is compliant with the ABPN leave requirements. If there is no properly prepared leave request with the approval signature of the Residency Director or his/her the designee, then THERE IS NO APPROVED LEAVE.

#### **General Leave Guidelines:**

1. Residents must be present for a minimum of 75% business days to pass a one-block rotation.
2. Leave requests must be submitted at least 180 days in advance. No leave requests will be considered less than 180 days in advance unless extraordinary circumstances can be demonstrated. The Residency Director must approve any exceptions.
3. Leave is not permitted on inpatient medicine hospital call services except in extraordinary circumstances. In such situations, residents must provide written justification as to why the leave should be approved.
4. Annual leave is not permitted during the first two weeks of July OR the last two weeks of June except in extraordinary circumstances.
5. Administrative or educational leave requires a copy of the brochure/email of the educational activity before the request will be considered. No more than five days of educational and/or administrative leave will be granted per academic PGY-2, PGY-3 and PGY-4 year and does not roll over if unused.
6. Coverage must be arranged for Psychiatry clinics if the leave request is made less than 90 days prior to clinic. Leave will be granted at the Residency Director's discretion.
7. It is the resident's responsibility to email the rotation preceptor, Psychiatry Residency Office, inpatient service, and clinic to which the resident is assigned of any forthcoming absence.

8. Cancellations and changes to approved leave must be made in writing.
9. Sick leave may only be used for personal illness, MD appointments or the illness of a family member as outlined below. Sick leave may not be used as annual time. Once sick leave is exhausted a resident may use annual leave in lieu of sick leave.
10. **NOTE:** At any given time between 8:00 am and 5:00 pm Monday through Friday, residents should either be on their assigned rotation, in clinic, in academics, or on leave with a properly prepared and approved leave request.

### **Types of leave and leave process**

1. **Vacation (Annual Leave):** Each resident is permitted two weeks (10 business days) of paid vacation per year, plus one to two weeks at Christmas/New Year and one week for Spring Break depending on the rotation. Betty Shirley Clinic is closed during these times so residents may be able to take this time off when in Betty Shirley clinic. Unused vacation time does not accrue from year to year. During PGY-1, these weeks are best taken during the psychiatry rotations, and cannot be taken during inpatient medicine or neurology. Any on-call weekend days requested as part of a vacation will not be considered unless coverage is arranged and listed on the request form.
  - When anticipating leave while on a rotation in the psychiatry clinic, coverage arrangements must be made and listed on the request form.
  - Leave may not exceed one week during any rotation provided the resident completes the required 75% business days to pass the rotation. Requests for two consecutive weeks of leave spanning two different rotations in two different blocks will be considered on a case-by-case basis. No leave will be allowed on split/two-week rotations.
2. **Sick Leave: Residents are permitted 12 sick days per year.**
  - Residents on hospital service who are sick must notify a Chief Resident and attending physician on call via phone and the Residency Office via email. Arrangement for the back-up resident to be called will be the responsibility of Chief Residents or Attendings (Text messaging is not acceptable).
  - Residents scheduled for clinic who are sick must notify their supervising attending via phone ASAP and the Residency Office via phone and email (Text messaging not acceptable).
  - Residents taking sick leave during a weekend call shift are expected to pay back the weekend call shift to the back-up resident later during the year.
  - Sick days must be requested in advance for physician appointments or scheduled medical procedures. Unexpected illness occasionally occurs. All days taken as sick leave must be claimed upon return to work. Any sick leave that exceeds 48 hours must be

accompanied by a physician's statement and release to return to work.

- **Additional Guidelines for Use of Sick Leave:** Sick leave is not an earned right, but a privilege, and should be taken only for reasons provided in this policy. Residents may be required to provide documentation for absences.
- Eligible residents may be granted sick leave when they:
  - i. Are unable to perform their duties because of personal illness or injury.
  - ii. Must attend to the serious illness of relatives who reside in the immediate household.
  - iii. Must attend to the serious illness of their parents (including current stepparents or legal guardians).
  - iv. Must obtain health-related professional services that cannot be obtained after regular working hours.
- When conditions within the work unit dictate the necessity, the supervisor may require a resident to reschedule an appointment.

### **3. Educational Leave:**

- Leave must be requested 180 days in advance. A request form should be submitted with written documentation (e.g., brochure) of the conference.
- Coordination and scheduling of USMLE Step 3/COMLEX Level 3 is the responsibility of the resident but leave for these exams must be approved before scheduling. Avoid scheduling during call. Time off during a call or the inpatient medicine service will only be approved in extenuating circumstances.

### **4. Administrative Leave:**

- Residents may be granted administrative leave for activities whereby they directly represent CCHS and UATPR (e.g., national and regional residency meetings, presentation of papers, posters, residency fairs, etc.). Applications for administrative leave will be submitted and processed in the same manner as all leave requests. No administrative leave will be granted for more than five working days per academic year.

5. **Family and Medical Leave Act:** In accordance with the Family and Medical Leave (FML) Act of 1993, eligible residents may take FML as provided in the [University Policy Manual](#). The FML policy can be directly found [here](#).

### **6. ACGME FAMILY LEAVE POLICY AND TIME AWAY FROM TRAINING**

– Please refer to Sponsoring institution Vacation and Leaves of Absence Policy

#### **D. Family Leave Within a Training Year:**

ABPN will allow a minimum of four weeks away from training in a given academic year averaged over the four-year residency without requiring extension of training, if the Program Director and Clinical Competency Committee agree that the resident is ready for advancement to the next level and on track to meeting competencies required for autonomous practice. ABPN allows a minimum of six weeks of time away from training for purposes of parental, caregiver, and medical leave at least once during training, without exhausting all other allowed time away from training and without extending training. Leave or vacation time may NOT be used to reduce the total amount of required residency training or to make up deficiencies in training.

#### **Total Time Away Across Residency Training:**

A resident may take up to a maximum of 22 weeks away from training over four years of residency without requiring a training extension. This allows for periods of Family Leave that may be necessary within different academic years, such as having a second child or recurrent personal or family leave. Generally speaking, 16 weeks of this leave will be from institutional allowances for time off that applies to all residents; programs will continue to follow their own institutional or programmatic leave policies for this. A period of Family Leave may cross over two academic years. In this circumstance, the Program Director and sponsoring institution may decide when the resident is deemed prepared to advance from one PGY level to the next.

#### **Time Allowed for Other Leave During the Course of Residency**

Consistent with prior ABPN policy for board-eligibility, the maximum time allowable for Other Leave is four (4) weeks per academic year. In the absence of a need for extended Family Leave, a resident is still required to spend 12 months in each PGY year. Foregoing this time by banking it to shorten the required 36 months of residency, or to retroactively “make up” for time lost due to sickness or other absence, is not permitted.

#### **Certification Timeline in Instances of Extension of Residency Training**

If a resident’s leave exceeds 22 weeks away total across four years of training, extension of the resident’s residency will be necessary to cover the duration of time they were away from the program in excess of 22 weeks. In this instance, residency directors must make appropriate curricular adjustments and notify ABPN of requested extensions for approval by ABPN. Reports must include an explanation for the absence from training, the number of total days missed, and a plan for resuming training as basis for calculating a new graduation date.

#### **E. Residency Training Requirements for Board Certification Eligibility**

Candidates for ABPN board eligibility are required to have completed training in an ACGME accredited Psychiatry residency program. In some situations, the training may be extended for additional time to meet the minimum requirements. All residents must have core clinical training that includes the breadth and depth of psychiatry. For ABPN board eligibility, these include, but are not limited to:

1. One must have four years of training in an ACGME-accredited program in psychiatry. In this training pattern, the PGY-1 year must include at least four months in internal medicine, family medicine, or pediatrics. For this PGY-1 four-month requirement, the experiences must be in clinical settings that provide comprehensive and continuous patient care and predominantly involve medical evaluation and treatment rather than surgical procedures. Please note that neurology rotations may not be used to fulfill this four-month requirement.
2. The ABPN requires that residents have at least 12 full calendar months of continuous outpatient psychiatry outpatient experience. No more than 20% of this experience can be with child/adolescent psychiatry patients.
3. All applicants seeking certification in psychiatry must successfully complete three clinical skills evaluations (CSEs, or CSVs in psychiatry) as part of the credentialing requirements to sit for the Psychiatry Certification Examination. Visit ABPN's Residency Training Information – Psychiatry webpage for clinical skills evaluation requirements, FAQs, and forms.

Residents who wish to sit for an initial certification examination through the ABPN must first confirm that their demographic, rotations and clinical skills evaluation information is entered and attested to in the ABPN preCERT® Credentialing System. All information must be entered and attested to shortly after graduation by a training program director and/or coordinator. With this complete, electronic submission of an application for a certification examination can be completed through the ABPN Physician Portal.

**F. Counseling and Support Services:** Counseling and support services including education information about substance abuse and physician impairment, are available to residents via the Residency Director. See also the [Sponsoring Institution's Impairment Policy](#).

**G. Confidential Voluntary Self-Identification of Disability and/or Protected Veteran Status:** The University's program of affirmative action invites employees to identify whether they are a covered veteran or an individual with a disability in order to receive the benefits of affirmative action. The information is used solely for affirmative action purposes and will not subject persons to any adverse treatment. Self-identification forms can be accessed at [https://hr.ua.edu/wp-content/uploads/HR-Forms-Page/Voluntary-Self-Identification-of-Disability-Form\\_postHire.pdf](https://hr.ua.edu/wp-content/uploads/HR-Forms-Page/Voluntary-Self-Identification-of-Disability-Form_postHire.pdf).<sup>1</sup> Once employed, the University invites employees who fall into one of both categories to confidentially identify themselves by completing the Voluntary Self-Identification of Individuals with Disabilities and/or Voluntary Self-Identification of Protected Veterans forms that can be found on the Employee tab under Employee Services on myBama. Employees who have previously submitted this information do not have to submit it again, unless their status has changed. Employees may contact the HR Service Center at 348-7732 with questions.

**H. Practice Site Visits:** A total of five days may be allowed during PGY-4 for investigating available practice sites. Residents must apply for these days on the appropriate form, listing the name and location of the practice as well as the names and contact numbers of the personnel involved in the meeting. The Residency Office must approve the actual site visit day(s). Site visit days may not be approved if charts are not current, academic status is in questions, or if rotation attendance has been an issue. Cancellations of site visit days must be made in writing. Residents are not permitted to schedule these site visits when they have continuity clinic or during call month rotation. These requests must be submitted 30 days in advance.

**I. Intern (Resident) Retreat:**

The Intern Retreat will occur during the last weekend of April. The location and other details for the retreat will be coordinated by the interns. The retreat starts at noon on Friday and ends at 7:00 pm on Sunday. Chief Residents/Attendings will coordinate call coverage.

- Interns (Residents) not participating in the official Intern Retreat activities will be expected to cover their own call shifts and clinics. Additionally, such interns may be assigned for daytime call coverage of primary services in lieu of their regular rotation.

**J. Severe Weather Guidelines**

Enactment of severe weather guidelines will be governed by The University of Alabama

**4. Tornadoes**

- Terms:
  - **Tornado watch** refers to weather conditions which are favorable for tornado formation.
  - **Tornado warning** refers to a confirmed tornado in the area.
- At Program Director's discretion, based off weather forecasts for the day, the severe weather coverage plan may be put into place. Should this occur, chief residents/faculty will contact residents to help coordinate the coverage plan.
- The expected coverage will be as follows:
  - The resident(s) who are scheduled to be on call when the severe weather plan is put into place, will be expected to remain in the hospital to provide patient care until night team is able to safely arrive.

**5. Snow and Ice**

- In instances where clinic is closed for snow/ice, those in the hospital should continue their normal call schedules and take extra time and caution when

traveling; residents may consider utilizing call rooms at DCH.

- If anyone feels unsafe to travel, discussion should be had with chief residents/faculty and program director.

#### **K. Process for Confidential Reporting of Concerns or Unprofessional Behavior:**

- Residents may submit an anonymous professional evaluation of peers, staff or faculty or submit a concern confidentially/anonymously through New Innovations or directly to the Residency Director.
- The Residency Director will discuss the issue with the identified individual. The resident submitting the evaluation or concern will receive feedback from the Residency Director if they choose to disclose their identity.

#### **L. Chief Resident Selection**

- As well as being a representative and leader among peers, the Chief Resident position has many junior faculty level administrative responsibilities, often occurring after hours. The Chief Residents will typically be chosen in March –April
- The Chief Residents will be expected to attend monthly Psychiatry Department meetings at UMC. The full transfer of responsibility will occur in May (after the match). The selection of Chief Residents begins with resident and faculty nomination and ranking. The Residency Director makes the final selection, taking rankings into account.
- No resident will be considered for Chief Resident unless they are in good standing, as determined by the Residency Director.

#### **M. Committees**

- Residents will be assigned to committees of CCHS and DCH. Once appointed, **residents are required to attend committee meetings and be active participants**. After the residency training period ends, committee memberships are a part of a physician's normal work environment. Learning how to be an active participant and a contributor on committees is part of the training program and offers the resident an opportunity to demonstrate professionalism.
- Residents should expect their involvement on committees to be tracked and part of the routine discussions with their academic advisor.

#### **N. USMLE Step 3/COMLEX Level 3**

- USMLE Step 3/COMLEX Level 3 should be taken and passed by October 30<sup>th</sup> of PGY-2. If not, the resident is subject to "Academic Probation" resulting in extension of PGY-2 or non-renewal of the contract. Residents will not be promoted to PGY-3 without passing Step 3. Failing Step 3 twice will result in consideration for dismissal from the program.
- Coordination and scheduling of Step 3 is the responsibility of the resident. Leave for the exam must be approved before scheduling. DO NOT schedule the exam during call, or inpatient medicine. Time off during inpatient medicine will only be approved in extenuating

circumstances and the resident is responsible for finding call coverage (which must be submitted with the leave request). Due to the scheduling process for Step 3, it is understood that the 90-day notice may not be feasible. However, residents should submit a leave request no fewer than 30 days before the intended test date.

#### **O. Licensure**

- PGY-1 residents are issued a limited license. This license limits residents to practice within the supervision of the program. After one full year of training and passing USMLE Step 3/COMLEX Level 3, residents must apply for an unrestricted license. Thereafter, the license must be renewed annually. Application and renewal fees are covered by the program.
  - **NOTE: International medical graduates are prohibited from obtaining an unrestricted license in the state of Alabama until they have completed residency.**
- **Residents who are graduates of US medical schools are required to obtain an unrestricted medical license by January 1<sup>st</sup> of PGY-2. Residents who are graduates of International medical schools are required to obtain an unrestricted medical license by January 1<sup>st</sup> of PGY-3. Failure to obtain licensure will result in probation until an unrestricted medical license is obtained and may result in disciplinary action by the State Licensure Board.**

#### **P. Controlled Substance Certificates**

- Resident are required to obtain an Alabama Controlled Substance Certificate. Residents are also required to obtain a Federal DEA Certificate to prescribe controlled substances.
- The Residency Office submits applications for Federal DEA certificates when residents enter the program.
- The fees for the Controlled Substance and initial DEA Certificates are covered by UATPR. DEA certificates are valid for three years.
- During PGY-4, residents are responsible for the DEA renewal fee. CME may be used to cover this fee.??
- No resident will be allowed to work without an active DEA certificate.

## Signatures

I hereby certify that I have received, read and reviewed the Sponsoring Institution policies and The University of Alabama Tuscaloosa Psychiatry Residency Handbook (which may be amended periodically by the University, CCHS and Program). I know these resources are maintained online and it is my responsibility to stay current via electronic access. I understand that I will be accountable for adhering to the policies and procedures both referenced and included herein and conducting my duties in the workplace in accordance with the information contained in this and other referenced policy manuals and/or handbooks.

---

Printed Name and Signature

---

Date